

SAGE-26,477



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Vincent Alan Larsen
Serial No.: 10/667,646
Filed: September 22, 2003
Group: To Be Assigned
Examiner: To Be Assigned
For: SYSTEM AND METHOD FOR PROCESS-BASED SECURITY IN A
NETWORK DEVICE

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Dear Sir:

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David Cain

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David Cain

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3/17/04

(Date of Signature)

INFORMATION DISCLOSURE STATEMENT

In accordance with the requirements of 37 C.F.R. §§ 1.56, 1.97, and 1.98, attached please find a Form PTO SB/08 listing information for consideration by the Office in connection with its examination of the above-captioned patent application.

It is brought to Examiner's attention that the references listed on the attached Form PTO SB/08 were previously submitted to the Office in connection with a prior application, namely Application Serial No.10/061,701, filed on February 1, 2002, which is relied upon in the current application for an earlier filing date under 35 U.S.C. §120. Pursuant to 37 C.F.R. 1.98(d), copies of such previously submitted items are not provided herein. Copies of the non-patent literature are provided herein.

Applicant requests that this information disclosure statement be considered and that a copy of the Form PTO SB/08 be returned to the undersigned indicating the consideration of each document listed.

INFORMATION DISCLOSURE STATEMENT
Serial No.10/667,646

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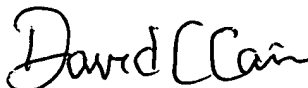
REMARKS

Applicant submits that no representation is made, and no representation is intended, that more relevant material does not exist, or that the order of presentation of these materials in any way reflects their relevant pertinence. The listing on the attached Form PTO SB/08 is not intended to constitute an admission of any kind. Specifically, this presentation is not an admission that any of the items listed are properly citable against the above-identified application as prior art. Applicant respectfully submits that his invention is patentable over the enclosed documents listed on Form PTO SB/08.

To Applicant's knowledge, this information disclosure statement is being filed before the mailing date of a first Office Action on the merits. Therefore, pursuant to 37 C.F.R. §1.97(b)(3), no fee is believed necessary for its consideration. Please charge any necessary fees or deficiencies in fees necessary for the filing of this paper or credit any overpayment to Deposit Account No. 20-0780/SAGE-26,477 of HOWISON & ARNOTT, L.L.P.

Respectfully submitted,

HOWISON & ARNOTT, L.L.P.
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March 16, 2004

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<div style="text-align: center;">Substitute for form 1449B/PTO</div> <div style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</div> <div style="text-align: center; font-size: small;">(use as many sheets as necessary)</div>		<div style="text-align: center; font-weight: bold;">Complete if Known</div> <table border="1" style="width: 100%; border-collapse: collapse;"><tr><td style="width: 50%;">Application Number</td><td>10/667,646</td></tr><tr><td>Filing Date</td><td>September 22, 2003</td></tr><tr><td>First Named Inventor</td><td>Vincent Alan Larsen</td></tr><tr><td>Group Art Unit</td><td>To Be Assigned</td></tr><tr><td>Examiner Name</td><td>To Be Assigned</td></tr><tr><td>Attorney Docket Number</td><td>SAGE-26,477</td></tr></table>		Application Number	10/667,646	Filing Date	September 22, 2003	First Named Inventor	Vincent Alan Larsen	Group Art Unit	To Be Assigned	Examiner Name	To Be Assigned	Attorney Docket Number	SAGE-26,477
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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	W. WULF, R. LEVIN, C. PIERSON, "Overview of the Hydra Operating System Development", Proceedings of the Fifth Symposium on Operating Systems Principles, November 1975, pp.i-ii, 122-131, Association for Computing Machinery, Inc., New York	
	2	ELLIS COHEN and DAVID JEFFERSON, "Protection in the Hydra Operating System", Proceedings of the Fifth Symposium on Operating Systems Principles, November 1975, pp. i-ii, 141-160, Association for Computing Machinery, Inc., New York	
	3	LEE BADGER ET AL., "A Domain and Type Enforcement UNIX* Prototype", 5th UNIX Security Symposium, June 1995, pp. 127-140,	
	4	H. KRAWCZYK ET AL., "HMAC-MD5: Keyed-MD5 for Message Authentication", Network Working Group Internet Draft, March 1996, pp. i, 1-8	
	5	BART PRENEEL, "IP Authentication using Keyed MD5 / The ESP DES-CBC Transform", Katholieke Universiteit Leuven, Belgium, July 1995, pp. 1-4	
	6	P. METZGER, "IP Authentication using Keyed MD5", RFC 1828 (RFC1828), August 1995, pp. 1-5	
	7	Method and Apparatus for Controlling Access to Storage Device, Kamano, et al., Publication No. U.S. 2001/0047463 A1, Publication Date 11/29/2001	
	8	Null-Packet Transmission From Inside a Firewall to Open a Communication Window for an Outside Transmitter, Boyle, et al., U.S. 2003/0018912 A1, 01/23/2003	
	9	International Search Report, PCT/US03/02716, International Searching Authority (ISA/EP) 04/04/2003	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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